

1-28-02

A

Practitioner's Docket No. 782\_215

PATENT

## NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on January 25, 2002 under "EXPRESS MAIL" mailing label number EL872579762US.

*Elizabeth A. VanAntwerp*  
Elizabeth A. VanAntwerp

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

Transmitted herewith for filing is the patent application of:

Inventor 1: Hideyoshi TSURUTA  
Address: c/o NGK Insulators, Ltd.  
2-56, Suda-Cho, Mizuho-Ku  
Nagoya, Aichi-Prefecture 467-8530  
Japan

Inventor 3: Kiyoshi NASHIMOTO  
Address: 5-33-13, Kunugida-Machi  
Hachioji-Shi, Tokyo 193-0942  
Japan

Inventor 2: Satoru YAMADA  
Address: c/o NGK Insulators, Ltd.  
2-56, Suda-Cho, Mizuho-Ku  
Nagoya, Aichi-Prefecture 467-8530  
Japan

Inventor 4: Naoki MIYAZAKI  
Address: 1-14-14, Misawa  
Hino-Shi, Tokyo 191-0023  
Japan

Title: ELECTROSTATIC CHUCK AND SUBSTRATE PROCESSING APPARATUS

## 1. Papers enclosed

## A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 10 Page(s) of Specification  
1 Page(s) of Claims  
1 Page(s) of Abstract  
3 Sheets of Formal Drawings (Figs. 1-3)

## B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data  
☐ A Preliminary Amendment  
☐ An Information Disclosure Statement  
☐ PTO Form-1449

## 2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney  
☒ A Claim for Priority and a certified copy of:

Country	Application Number	Filing Date
Japan	2001-019,487	January 29, 2001

- ☒ An Assignment Transmittal and Assignment of the invention to:

NGK INSULATORS, LTD.	and	ANELVA CORPORATION
2-56, Suda-Cho, Mizuho-Ku		5-8-1, Yotsuya
Nagoya, Aichi-Prefecture 467-8530		Fuchu-Shi, Tokyo 183-8508
Japan		Japan

3. The correspondence address for this application is: Customer No.: 025191

Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

Electronic Mail: vananl@macklaw.com

4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

☐ Applicants hereby request that the attached application **not be** published under 35 U.S.C. 122(b).

5. ☒ Amend the specification by inserting, after the title and before the first line, the paragraph:

--This application claims the benefit of Japanese Application 2001-019,487, filed January 29, 2001, the entirety of which is incorporated herein by reference.--

6. The filing fee has been calculated as shown below:

Basic Filing fee (37 CFR §1.16(a))--\$740.00				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	4 - 20 =	0	× \$ 18.00	
Independent Claims	1 - 3 =	0	× \$ 84.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	
Surcharge fees (37 C.F.R. 1.16(e))--\$130.00				
<input checked="" type="checkbox"/> late payment of filing fee <i>and/or</i>				\$ 130.00
<input checked="" type="checkbox"/> late filing of original declaration or oath				
TOTAL OF ABOVE CALCULATIONS =				\$ 870.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				
SUBTOTAL =				\$ 870.00
<input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d))--\$130.00				
<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h))--\$40.00				\$ 40.00
TOTAL FEES DUE =				\$ 910.00

10057804.012502

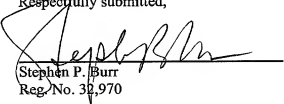
**7. Payment of Fees and Authorization to Charge Additional Fees  
or Credit Overpayment**

- ☐ A check in the amount of \_\_\_\_\_ is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

January 25, 2002

Date

  
Stephen P. Burr  
Reg. No. 32,970

SPB/eav

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

0057804.012502